



# CLEARING ACCESS FORM

## DERIVATIVES

Please return this completed form to [MS.GI@nasdaq.com](mailto:MS.GI@nasdaq.com)

Please note that all information requested in the form must be provided. Any individual(s) appointed hereunder must be adequately trained and experienced to fulfill its designated function, and be familiar with the Clearing Rules and the general operations of the member vis-a-vis the Clearinghouse in respect of such function. The individual must normally be available for contact during Opening Hours. The individual(s) will be deemed generally authorized to act on behalf of the member in respect of its designated function.

COMPANY NAME			
MEMBER CATEGORY		PARTICIPANT ID (MPID)	
CONTACT PERSON		CONTACT PHONE	
CONTACT EMAIL			

ACCESS	<input type="checkbox"/> New Access	<input type="checkbox"/> Remove Access	<input type="checkbox"/> Update Access (Specify Username)	
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ENVIRONMENT	<input type="checkbox"/> PRODUCTION	<input type="checkbox"/> EXT. TEST 1	<input type="checkbox"/> EXT. TEST 3	<input type="checkbox"/> EXT. TEST 4
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CONNECTION DETAILS	<input type="checkbox"/> Internet	<input type="checkbox"/> Fixed Line / VPN	IP: <small>If new IP Subnet in production, please specify Source IP</small>
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REQUESTED ACCESS	<input type="checkbox"/> Q-Port Super user (Q-Port)	<input type="checkbox"/> Q-Port Broker (Q-Port)		
	<input type="checkbox"/> CW1 Server User (Clearing Workstation 1)	<input type="checkbox"/> External BO User (External Back Office)	<input type="checkbox"/> Market Data User	<input type="checkbox"/> SFTP Access (Clearing Report Service)

USER OWNER <small>(Only applicable for Q-Port)</small>	
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E-MAIL <small>Software Token – E-mail Address</small>	
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NUMBER OF CW1 LICENSES <small>(Required for CW1 Server)</small>	
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When requesting the first Server User the member will be provided with an Admin User by Nasdaq. Further Addition/Removal/Management of users (except Server Users) is done by the Admin User. Licenses dictate the maximum number of users logged in simultaneously through a single Server User.

NUMBER OF EXT. USERS <small>(External BO &amp; Market Data)</small>	
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**Q-Port**

In Q-Port the users (dependent on user rights) are able to register contracts, view contracts, distribute contracts, confirm contracts via voice and view reports. User rights are determined by the Member via this entry form. The Super user has all rights in Q-Port. Limitations to all users are administrated by the Super user.

Any Q-Port user changes must be reported to the Exchange immediately with a new updated Clearing Access Form.

**Clearing Workstation (CW1) User Guide & Software Download**

<http://www.nasdaqomx.com/transactions/technicalinformation/geniuminet/tradingclearingworkstation/>

**External BackOffice/FIX user**

External BackOffice users can be used either by an OMnet or FIX based application. The Financial Information eXchange ("FIX") Protocol is a series of messaging specifications for the electronic communication of trade-related messages. More information about FIX:

[http://www.nasdaqomx.com/digitalAssets/86/86622\\_85777\\_fixforgeniuminetfornasdaqomxnordic.pdf](http://www.nasdaqomx.com/digitalAssets/86/86622_85777_fixforgeniuminetfornasdaqomxnordic.pdf)

**Clearing Report Service (SFTP)**

Secure File Transfer Protocol (SFTP) service for downloading of Clearing reports directly from the SFTP site. Max one per participant.

**Fees**

OMnet users, Q-Port users and Clearing Workstation licenses are subject to the Technical pricelist found on our Member Extranet

<http://www.nasdaqomx.com/transactions/technicalinformation/technicalpricelists/>

ADDITIONAL INFORMATION (Usernames, Token IDs etc.)	
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AUTHORIZED SIGNATURE OF MEMBER	
DATE	
PLACE	
AUTHORIZED SIGNATURE	
NAME AND TITLE	
By signature below I/we declare that I/we am/are authorized to sign on behalf of the member identified above and that I/we have read and understood the Clearing Rules in terms of the effects of the authorization contained herein, and that the persons appointed are deemed adequately qualified to fulfill their designated functions.	

APPROVAL FROM GCM <i>(ONLY FOR NCM APPLICANTS)</i>	
DATE	
PLACE	
AUTHORIZED SIGNATURE	
NAME AND TITLE	
By signature below I/we declare that I/we am/are authorized to sign on behalf of the member identified below and that I/we have read and understood the Clearing Rules in terms of the effects of the authorization contained herein, and that the persons appointed are deemed adequately qualified to fulfill their designated functions. The GCM is responsible for all actions taken via the NCM's connection to the Clearing System. All registration measures undertaken by the NCM will be counted for as if the actions were taken by the GCM.	

Authorized signatures are required in order to effectuate this form and any amendments hereto. Authorized signatures are only made by (i) person(s) holding a position as Head of Back Office/ Head of IT/Compliance Officer or (ii) person(s) having general signing authority for the member.

**INQUIRIES REGARDING THIS FORM CAN BE DIRECTED AT**  
**E-MAIL: [MS.GI@NASDAQ.COM](mailto:MS.GI@NASDAQ.COM) | TEL: +46 (0) 8 405 6660**