

Authorization for Third Party Access for Collateral Management – Request Form

Complete , sign and send to:

Nasdaq Stockholm AB, Att Member Service, Tullvaktsvägen 15, SE-105 78 Stockholm, Sweden

CLEARING PARTICIPANT DETAILS	Name of the Clearing Participant		
	Registered business address		Company registration number
	Postal code	Postal district/city	Country
	E-mail	Tel. No. (int. format) +	Fax. No. (int. format) +
	Supplemental details (if any)		
AUTHORISED THIRD PARTY	Name of the Authorised Third Party		
	Registered business address		Company registration number
	Postal code	Postal district/city	Country
	E-mail	Tel. No. (int. format) +	Fax. No. (int. format) +
	Supplemental details (if any)		
<i>The Clearing Participant undertakes to notify Nasdaq without delay of any change to the details provided above.</i>			
ACCESS	<p>The Clearing Participant hereby approves that Nasdaq provides the Authorized Third Party with unlimited access to the following Custody Account(s) of the Clearing Participant (please tick one box only):</p> <p style="padding-left: 40px;"> <input type="checkbox"/> All Custody Accounts intended for the provision of margin collateral and default fund collateral <input type="checkbox"/> All Custody Accounts intended for the provision of margin collateral <input type="checkbox"/> All Custody Accounts intended for the provision of default fund collateral <input type="checkbox"/> Specific Custody Account(s) with number/name: _____ </p> <p>The Authorized Third Party will be granted unlimited access to the relevant Custody Account(s), including, without limitation, to initiate instructions and communications against such account(s) and receive balance and transaction information in relation to such account(s). Nasdaq will treat instructions given by the Authorized Third Party as instructions or communications given on behalf of the Clearing Participant under the relevant Custody Account Agreement. Release of collateral (“collateral call-backs”) from Custody Account(s) will, however, only be effected according to pre-defined settlement instructions as approved by the Clearing Participant from time to time.</p> <p>In addition, the Authorized Third Party will be granted access to information on default fund and/or margin requirement(s) as well as collateral information for all clearing accounts connected to the Custody Account(s).</p>		
LIABILITIES AND INDEMNITIES	<p>Notwithstanding the authorization hereunder, the Clearing Participant shall remain responsible for its obligations under the relevant Custody Account Agreement(s) and the relevant clearing rules. The Clearing Participant acknowledges and confirms that limitations on Nasdaq’s liabilities as well as indemnities to Nasdaq’s benefit set out in the relevant Custody Account Agreement(s) shall remain and not be affected by this authorization.</p>		

SIGNATURES
(authorised
signatories)

For

Clarification of signature:

Place:

Date:

Clarification of signature:

Place:

Date:

Nasdaq notes

Third Party Access has been granted in accordance with the above.

Clarification of signature:

Place:

Date: