

## Decision by the Disciplinary Committee regarding AegirBio AB

**Stockholm, March 14, 2023**— The Disciplinary Committee of Nasdaq Stockholm has found that AegirBio AB (the “Company”) has breached the Nasdaq First North Growth Market Rulebook (the “Rulebook”) and therefore ordered the Company to pay a fine of eight annual fees.

The Disciplinary Committee concludes that the Company on several occasions has breached Article 17 of the EU Market Abuse Regulation (“MAR”) by:

- not having enabled a complete and correct assessment of an order disclosed on May 4, 2021, as the disclosure did not include information that the Company was obliged to buy back the products that the customer would not be able resell.
- not having enabled a complete and correct assessment of a termination of agreement with the customer, disclosed on October 25, 2021, since the disclosure did not include information about the uncertainty this would entail for the realization of the abovementioned order.
- having made inside information, about an approval of the Company's Covid-19 test in Thailand, available in a discriminatory manner to the media before the information was disclosed through a press release.

The Disciplinary Committee takes a serious view of the Company's repeated breaches and, taking into account that the breaches appear to stem from a lack of capacity for providing information to the market, orders the Company to pay a fine of eight annual fees.

The Disciplinary Committee’s decision is available at:

<https://www.nasdaq.com/solutions/decisions-sanctions-stockholm-2023>

### About the Disciplinary Committee

The role of Nasdaq Stockholm’s Disciplinary Committee is to consider suspicions regarding whether Exchange Members or listed companies have breached the rules and regulations applying on the Exchange. If the Exchange suspects that a member or company has acted in breach of the rules, the matter is referred to the Disciplinary Committee. Nasdaq Stockholm investigates the suspicions and pursues the matter and the Disciplinary Committee issues a ruling regarding possible sanctions. The sanctions possible for listed companies are a warning, a fine or delisting. The sanctions possible for Exchange Members are a warning, a fine or debarment. Fines paid are not included in the Exchange’s business but are attributed to a foundation supporting research in the securities market. The Disciplinary Committee's Chairman and Deputy Chairman must be lawyers with experience of serving as judges. At least two of the other members of the Committee must have in-depth insight into the workings of the securities market.

Members: Former Supreme Court Justice Marianne Lundius (Chairman), Supreme Court Justice Petter Asp (Deputy Chairman), Supreme Court Justice Johan Danelius, Company Director Anders Oscarsson, Company Director Joakim Strid, Lawyer Wilhelm Lüning, Lawyer Patrik Marcelius, Lawyer Erik Sjöman,



MBA Carl Johan Högbom, Authorized Public Accountant Magnus Svensson Henryson, Former Authorized Public Accountant Svante Forsberg, Lawyer Magnus Lindstedt and director Kristina Schauman.

**About Nasdaq**

Nasdaq (Nasdaq: NDAQ) is a global technology company serving the capital markets and other industries. Our diverse offering of data, analytics, software and services enables clients to optimize and execute their business vision with confidence. To learn more about the company, technology solutions and career opportunities, visit us on LinkedIn, on Twitter @Nasdaq, or at [www.nasdaq.com](http://www.nasdaq.com).

**Nasdaq Media Contact**

Erik Gruvfors

+46 73 449 78 12

[erik.gruvfors@nasdaq.com](mailto:erik.gruvfors@nasdaq.com)