# Application for listing of exchange traded funds

A decision regarding the listing of fund units is taken by Nasdaq Stockholm AB (the “Exchange”) following an application from the fund company. The Exchange decides whether the conditions exist for suitable exchange trading in the fund units. Particular importance is given to the contents of the information brochure.

**The application documents:**

1. Listing application from the fund company
2. A copy of the permit from the Financial Supervisory Authority or equivalent foreign authority
3. Up-to-date information brochure, annual report and half-yearly report
4. The form “Facts about the fund”, please see appendix A in the application document
5. A certificate of incorporation
6. A certificate of distribution of the fund units or a market maker agreement

The fund company, Click here to enter text., hereby applies that its fund unit, according to the facts about the fund, will be listed on Nasdaq Stockholm.

………………………………………………………………….. Place and date

…………………………………………………………………..
The company (authorized corporate signature)

Please send the application to iss@nasdaq.com

 **Nasdaq Stockholm AB**. SE-105 78 Stockholm. SWEDEN. Tel. 46 8 405 60 00. Fax +46 8 405 60 01.

Visiting Address: Tullvaktsvägen 15. Reg. No. 556383-9085. www.nasdaqomxnordic.com

# Appendix A - Facts about the Fund

## The Fund Company

|  |  |
| --- | --- |
| Registered Company Name | Click here to enter text. |
| Corporate identification number | Click here to enter text. |
| LEI Code | Click here to enter text. |
| Address | Click here to enter text. |
| Telephone Number | Click here to enter text. |
| Fax | Click here to enter text. |
| Website | Click here to enter text. |

**The Fund**

|  |  |
| --- | --- |
| Name of the Fund | Click here to enter text. |
| In what currency is the fund denominated | Click here to enter text. |
| ISIN Code  | Click here to enter text. |
| FISN Code | Click here to enter text. |
| CFI Code | Click here to enter text. |
| Actual NAV/Fund Unit | Click here to enter text. |
| Number of Fund Units | Click here to enter text. |
| Fund Assets | Click here to enter text. |
| Market Maker | Click here to enter text. |
| Number of Fund Unit Holders | Click here to enter text. |

**Short Name**

|  |  |
| --- | --- |
| Suggested Short Name (up to 16 signs)(The short name is the Fund’s identification in the trading system. Valid signs are a-z, 0-9) | Click here to enter text. |

|  |  |
| --- | --- |
| Responsible for the above stated information | Click here to enter text. |

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